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## Encapsulation and Stabilization of Gold Nanoparticles with "Click" Polyethyleneglycol Dendrimers

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Abstract: Water-soluble arene-cored "clicked" and non-"clicked" dendrimers terminated by 27, 81, and 243 triethyleneglycol (TEG) tethers (respectively generations G0, G1, and G2) have been synthesized and shown to form dendrimer-encapsulated gold nanoparticles (DEAuNPs) and dendrimer-stabilized gold nanoparticles (DSAuNPs). The dendrimers have been characterized by IR, <sup>1</sup>H NMR, <sup>13</sup>C NMR, size-exclusion chromatography, elemental analysis, MALDI-TOF mass spectroscopy, DOSY NMR, and dynamic light scattering. The AuNPs have been generated and stabilized by these PEGylated dendrimers using a variety of reduction modes, including NaBH<sub>4</sub> in methanol, various single-electron metallocene-type reductants, and even in the absence of additional reductants. The active role of the "clicked" triazole rings, dendrimer generation, stoichiometry of Au precursor, and nature of the reductant and of the solvent are delineated, leading to DSAuNPs with the G0 dendrimer and smaller DEAuNPs with the G1 and G2 dendrimers. Altogether, AuNPs in the size range from 1.8 to 42 nm were formed and characterized by transmission electron microscopy (TEM), high resolution TEM (HRTEM) and UV-vis spectroscopy. Both 1,2,3-triazole and PEGylated Percec-type dendrons are required in the dendrimer structure for the stabilization of AuNPs upon NaBH<sub>4</sub> reduction of HAuCl<sub>4</sub> in methanol. On the other hand, in the absence of other reductant in water, only PEGylated Percec-type dendrons in dendrimers were found to be indispensable, because of their semicavitand shape, for the spontaneous reduction of HAuCl<sub>4</sub> and stabilization of DSAuNPs.

## 27 Introduction

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Substrate encapsulation by dendrimers<sup>1</sup> is a major property 28 of dendrimer chemistry that has potential applications in 29 catalysis,<sup>2</sup> photophysics,<sup>3</sup> materials science<sup>4</sup> and nanomedicine.<sup>5</sup> 30 One of the most remarkable examples of dendritic encapsulation 31 is that of transition-metal nanoparticles (NPs) that was disclosed 32 more than a decade ago using polyamidoamine (PAMAM) 33 dendrimers,<sup>6</sup> then polypropyleneimine (PPI) dendrimers.<sup>7</sup> Crooks 34 and his group,<sup>8</sup> among others,<sup>7–9</sup> have demonstrated the 35 enormous potential of this concept in catalysis under various 36

"green" conditions and have shown that the dendrimer plays 37 the role of a nanoreactor and nanofilter.<sup>8</sup> In particular, NP 38 encapsulation has been applied to many transition metals 39 including the use of a redox reaction between a NP and other 40 metal cations.<sup>2</sup> However, the studies of dendrimer-encapsulated 41 NPs (DENs) have essentially concerned the PAMAM and PPI 42 dendrimer families that are commercial, with the main exception 43

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44 of Yamamoto's phenylazomethine dendrimers whose rigidity 45 brings about new specific features for properties of phenylazomethine-DENs in materials science.<sup>2</sup> 46

It has recently been shown that dendrimers assembled by click 47 chemistry<sup>10</sup> can coordinate and electrochemically recognize 48 various transition-metal cations,<sup>11</sup> and that such palladodendritic 49 complexes can be reduced to DEPdNPs that have remarkable 50 catalytic properties.<sup>12</sup> Such a strategy does not work in the same 51 way for an approach to arene-cored DEAuNPs,<sup>13</sup> and the 52 investigation toward a rationalization of the syntheses toward 53 this goal is presented and generalized here. Besides encapsula-54 tion, exodendrimer stabilization is also well-known,<sup>14</sup> and this 55 alternative has also been searched with arene-cored dendrimers<sup>15</sup> 56 constructed using a Newkome-type  $1 \rightarrow 3$  connectivity.<sup>16</sup> 57

- Moreover, our goal was to stabilize and inter alia encapsulate 58
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AuNPs using polyethyleneglycol-terminated dendrimers,<sup>17</sup> because of their biocompatibility<sup>17</sup> and the applications of AuNPs in nanomedicine.18

A major finding in this work is that Percec-type triethyleneglycol (TEG) tethers<sup>19</sup> are not only tolerated for the synthesis of DEAuNPs and DSAuNPs but they are even required for this 64 purpose in this new arene-cored dendrimer series, and under 65 certain circumstances they allow the AuNP formation. The key 66 additional role of the intradendritic 1,2,3-triazole rings, formed 67 by "click" reaction, on the AuNP formation and stabilization is 68 also shown. 69

### **Results**

The synthesis of two series of arene-cored, PEG terminated 71 dendrimers of three generations has been carried out. For both 72 series, the dendrimer syntheses start by CpFe<sup>+</sup>-induced nona-73 allylation of mesitylene<sup>15</sup> followed by photolytic decomplex-74 ation<sup>20</sup> and Newkome-type  $1 \rightarrow 3$  connectivity.<sup>16</sup> The first series 75 of dendrimers was synthesized using "click" chemistry (den-76 drimers 4, 5 and 6, Scheme 1), and the other one using the 77 Williamson reaction (dendrimers 9, 10 and 11, Scheme 2) in 78 order to graft PEG dendrons at the periphery of the dendrimers. 79 The AuNPs are stabilized by these PEGylated dendrimers, 80 synthesized by "click" chemistry (4, 5 and 6), in methanol using 81 NaBH<sub>4</sub> as the reductant. The PEG-terminated (dendronized) 82 dendrimers (4–6 and 9–11) also allowed the reduction of Au<sup>III</sup> 83 to Au<sup>0</sup> in water without the presence of an additional reductant. 84 The shape and the size of the AuNPs have been studied by 85 UV-vis spectroscopy and transmission electron microscopy 86 (TEM) and the kinetics of formation and size variations of the 87

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## ARTICLES

Scheme 1. Synthesis of the Three Generations of "Click" Dendrimers 4, 5 and 6



# (GENERATION 2)

88 AuNPs have been examined when the number of equivalents 89 of HAuCl<sub>4</sub> added per dendrimer was increased. The influence of the nature of the reductant used in methanol, that is, NaBH<sub>4</sub> 90 or an electron-reservoir organometallic sandwich complex, was 91 also studied, and the role of the standard redox potential of the 92 reductant on the AuNP formation was finally scrutinized. 93 94 Overall, the synthesis of AuNPs stabilized or encapsulated by PEGylated dendrimers is detailed. 95

96 **1. Synthesis and Characterizations of Dendrimers 4, 5** 97 **and 6 Synthesized by "Click" Chemistry.** The synthesis of three 98 generations of arene-cored dendrimers with  $1 \rightarrow 3$  connectivity 99 (**4, 5** and **6**) terminated with 27, 81 and 243 PEG tethers (G<sub>0</sub> to G<sub>2</sub>) is shown in Scheme 1. The dendritic precursors with 9 100 S1 chloromethyl and azido groups were reported earlier.<sup>15</sup> The 101 Newkome-type  $1 \rightarrow 3$  connectivity<sup>16</sup> was continued by the 102 Williamson reaction between the nonachloromethyl core and a 103 Percec-type dendron.<sup>19</sup> This dendron was synthesized from a 104 modified gallic acid core functionalized at the focal point by a 105 tetraethyleneglycol (TAEG) linker, then by a propargyl group, 106 and on the peripheral tethers by triethylene glycol (TEG) termini 107 (3). Finally, the dendrons were linked to the core using the Cu<sup>I</sup>-108 catalyzed "click" reaction between the terminal alkyne tail and 109 the azido-terminated dendritic core.<sup>11</sup> A stoichiometric amount 110 of Cu<sup>I</sup>, generated using CuSO<sub>4</sub> and sodium ascorbate, has been 111

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**S2** 



Figure 1. Size Exclusion Chromatography of the three generations of the "click" dendrimers 4-6 containing polyethyleneglycol tethers (PDI = 1.02)  $\pm 0.01$ ).



Figure 2. MALDI-TOF mass spectrum of the dendrimer 4. Calcd for C414H741O153N27Si9: 8798; found: 8821.25 (MNa).

used, because dendritic metal encapsulation considerably slows 112 down the click reaction or inhibits it,<sup>11,21</sup> especially with large 113 dendrimers. The dendrimers of generation 0 (4, 27 TEG termini) 114 to 2 (6, 243 TEG termini) were synthesized in this way in nearly 115 quantitative yields (92–95%), and characterized by IR, <sup>1</sup>H and 116 <sup>13</sup>C NMR, size exclusion chromatography (4, 5 and 6, Figure 117 1), correct elemental analysis (4), and MALDI TOF (4, major 118 119 peak at MNa<sup>+</sup>: calcd 8820.91; found: 8821.24, Figure 2). DOSY NMR and dynamic light scattering give consistent data for 120 dendrimers 4 and 5, both methods giving a diameter values of 121  $9 \pm 1$  nm for 4 and  $18 \pm 2$  nm for 5. For the dendrimer 6, light 122 scattering provides a diameter value of  $20 \pm 2$  nm (Figure 3, 123 124 see also the Supporting Information, ESI).

2. Synthesis and Characterizations of Dendrimers 9, 10 125 and 11 by the Williamson Reaction. The syntheses of three 126 generations of the arene-cored, TEG-terminated dendrimers 9, 127 10 and 11 with 27, 81 and 243 TEG dendrimers ( $G_0$  to  $G_2$ ) are 128 shown in Scheme 2. They start with the same steps as for the 129 syntheses of dendrimers 4, 5 and 6 (see Scheme 1) until the 130 hydrosilylation step. Then, reaction of the dendrimer core 131 terminated by chloromethylsilyl groups with NaI yields the 132 nonaiodomethylsilyl core (7). The Percec-type dendron is 133 134 functionalized at the focal point by a hydroquinone linker in 135 order to introduce a phenol terminus (8). Finally, the dendrons are linked to the core using a Williamson reaction between the 136 137 terminal phenol tail and the iodomethylsilyl-terminated dendritic core. The dendrimers 9, 10 and 11 of generation 0 (9, 27 TEG 138 termini) to 2 (11, 243 TEG termini) were synthesized in this 139 way and characterized by IR, <sup>1</sup>H and <sup>13</sup>C NMR, size exclusion 140 chromatography (9, 10 and 11), MALDI TOF (9, major peak 141



Figure 3. DOSY NMR spectrum of the dendrimer 4.  $D = 1.16 (\pm 0.1) \times$  $10^{-10}$  m<sup>2</sup>/s, Rh = 4.9 (±0.1) nm. D, diffusion coefficient; Rh, hydrodynamic radius.

at M<sup>+</sup>: calcd 7311.54; found: 7334.47 (MNa<sup>+</sup>). DOSY NMR 142 and dynamic light scattering provide consistent data for the 143 dendrimers 10 and 11, both methods giving a diameter values 144 of 13  $\pm$  1.2 nm for 10 and 15  $\pm$  1.5 nm for 11. For the 145 dendrimer 9, DOSY NMR yielded a diameter value of  $8 \pm 0.6$ 146 nm (ESI). 147

3. AuNPs Synthesized using NaBH<sub>4</sub> and Stabilization in 148 Methanol by the "Click" Dendrimers 4, 5 and 6. The AuNPs 149 were synthesized by reaction between the "click" dendrimers 150 4, 5 and 6, and a stoichiometric amount of  $HAuCl_4$  vs. the 151 dendrimer triazole groups, followed by NaBH<sub>4</sub> reduction in 152 methanol. This stoichiometry was selected, because we believed 153 that the AuNPs formed would contain a number of atoms equal 154 to the number of triazole rings in the dendrimer, as with PdNPs. 155 It also allows comparisons between the various procedures used 156 throughout this study. Later, the amount of HAuCl<sub>4</sub> was also 157 increased, in order to examine its influence on the AuNP size. 158 The UV-vis spectrum shows a plasmon band at 540 nm for 159 the dendrimer 4-stabilized AuNPs, but this band is absent 160 in the spectrum of the AuNPs stabilized by the higher-generation 161 dendrimers 5 and 6 (Figure 4). The transmission electron 162 F4 microscopy (TEM) data confirm this trend (Figure 5) showing 163 that the dendrimer 4-stabilized AuNPs are larger than the 164 dendrimer (4.1  $\pm$  0.5 nm) and cannot be encapsulated in such 165 a small dendrimer that contains only 27 tethers. Thus, several 166 dendrimers 4 are surrounding each AuNP (Figure 6). On the 167 F6 other hand, the larger dendrimers 5 and 6 containing respectively 168 81 and 243 TEG tethers encapsulate AuNPs of small size (1.9 169  $\pm$  0.4 nm). Dendrimer 4 has an open structure, and any AuNPs 170 formed therein will start to come out and aggregate into larger 171 AuNPs, while dendrimers 5 and 6 will engulf any forming 172 AuNPs. 173

4. Attempts to Stabilize AuNPs in Methanol by Other 174 Dendrimers. The syntheses and stabilization of AuNPs using a 175 stoichiometric amount of HAuCl<sub>4</sub> per triazole or Percec-type 176 dendron and NaBH<sub>4</sub> reduction in methanol was attempted using 177 six others dendrimers. Three of them, that were reported 178 earlier,<sup>12a</sup> only contain triazole groups and do not contain any 179 PEG (12, 13 and 14), and the three others only contain PEG 180 but no triazole groups (9, 10 and 11).<sup>15b</sup> "Click" dendrimers 181 with 27 and 81 allyl tethers (13 and 14) and a "click" dendrimer 182 with 27 phenyl tethers (12) were used (Chart 1). 183

The procedure used for the addition and reduction of HAuCl<sub>4</sub> 184 in these dendrimers was the same one as that previously 185 described using a stoichiometric amount of HAuCl<sub>4</sub> vs the 186 dendrimer triazole groups. When NaBH<sub>4</sub> in methanol was added, 187

F5

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Scheme 2. Syntheses of the Three Generations of Dendrimers 9, 10 and 11 by the Williamson Reactions



the AuNPs were formed, but they precipitated after a few
minutes, and AuNPs could never be stabilized (although PdNP
could be stabilized<sup>19</sup>).

The dendrimers **9**, **10** and **11** containing respectively 27, 81 and 243 PEG tethers but no triazole ligand were used for comparison (Scheme 2). The procedure used for the addition and reduction of HAuCl<sub>4</sub> in this dendrimer was the same as previously described with 27, 81 and 243 equiv of Au per dendrimer. The result was similar to that obtained with the above dendrimers containing only triazole groups, that is, AuNPs immediately precipitated when NaBH<sub>4</sub> in methanol was added, 198 and no AuNP could be obtained. 199

These six experiments support the fact that the presence of 200 both the PEG and triazole groups in the dendrimers 4, 5 and 6 201 are essential for the stabilization of AuNPs in methanol, when NaBH<sub>4</sub> is used as the reductant. 203

**5.** AuNP Size Variation upon Change of HAuCl<sub>4</sub> 204 Stoichiometry in Methanol. The size variation of the AuNPs 205 synthesized using NaBH<sub>4</sub> in methanol was observed in the case 206 of AuNP stabilization with the dendrimer **5** upon change of 207

# Absorbance 0.40 0.30 0.20 0.10 0.10280 380 480 580 680 $\lambda$ (nm)

*Figure 4.* UV-Vis spectra of AuNPs stabilized by several dendrimers 4 and encapsulated by dendrimers 5 and 6.

stoichiometry of the HAuCl<sub>4</sub> precursor. TEM images were 208 209 recorded (Figure 6) for an increase of the equiv. number of added HAuCl<sub>4</sub> from 1 to 20 Au<sup>III</sup> per intradendritic triazole 210 ligand. All the AuNPs obtained are spherical, and their size is 211 very homogeneous. Moreover, a high resolution TEM was 212 recorded for 7 equiv of Au<sup>III</sup> per triazole, in order to observe 213 the crystalline structure of the AuNP (Figure 6, G). The increase 214 of the number of equiv. HAuCl<sub>4</sub> per triazole leads to a variation 215 of the diameter of the AuNPs from  $1.9 \pm 0.2$  nm (1 equiv of 216 Au<sup>III</sup> per triazole) to  $11.3 \pm 1$  nm (20 equiv of Au<sup>III</sup> per triazole). 217 From 1 to 10 equiv of Au<sup>III</sup> per triazole, the AuNP size varies 218

#### linearly with the number of HAuCl<sub>4</sub> equiv added before reaching 219 a plateau at approximately 11 nm (Figure 7). This maximum 220 F7 size is expected, because the AuNPs are stabilized with the 221 dendrimer 5 in an intradendritic way (see section 2), and the 222 diameter of this dendrimer is confirmed by both DOSY NMR 223 and light scattering (18 $\pm$ 2 nm). When the number of 224 equivalents of HAuCl<sub>4</sub> per triazole is larger than 10, excess Au<sup>0</sup> 225 immediately precipitates. 226

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6. AuNP Synthesis in Water and Stabilization by 227 Dendrimers Terminated by Percec-Type PEG Dendrons in 228 the Absence of External Reductant. AuNPs were synthesized 229 according to a new protocol, without external reductant and in 230 aqueous dendrimer solution at room temperature. The AuNP 231 were formed by reaction between the TEGylated dendrimers 232 and a stoichiometric amount of HAuCl<sub>4</sub> per PEG dendron in 233 water in the dark (i.e., 9 equiv of HAuCl<sub>4</sub> per dendrimers 4 234 and 9, 27 per dendrimers 5 and 10 and 81 per dendrimers 6 235 and **11**). The solution became red after a few minutes of stirring. 236 The synthesis of the AuNPs in the presence of dendrimers 9, 237 10 and 11 was faster than for the AuNPs obtained with "click" 238 dendrimers 4, 5 and 6. This reduction was followed by the 239 evolution of the AuNP plasmon band in UV-vis spectroscopy. 240 For example, the synthesis of the AuNPs with the dendrimer 9 241



Figure 5. (a) Dendrimer 4/AuNPs: TEM image and size distribution. (b) Dendrimer 5/AuNPs: TEM image and size distribution. (c) Dendrimer 6/AuNPs: TEM image and size distribution.



*Figure 6.* (A) Dendrimer **5** + 1 equiv of HAuCl<sub>4</sub> per triazole, diameter =  $1.9 (\pm 0.2)$  nm; (B) dendrimer **5** + 3 equiv of HAuCl<sub>4</sub> per triazole, diameter =  $3.8 (\pm 0.4)$  nm; (C) dendrimer **5** + 5 equiv of HAuCl<sub>4</sub> per triazole, diameter =  $5.3 (\pm 0.5)$  nm; (D) dendrimer **5** + 7 equiv of HAuCl<sub>4</sub> per triazole, diameter =  $6.8 (\pm 0.7)$  nm; (E) dendrimer **5** + 10 equiv of HAuCl<sub>4</sub> per triazole, diameter =  $11.0 (\pm 1)$  nm; (F) dendrimer **2** + 20 equiv of HAuCl<sub>4</sub> per triazole, diameter =  $11.3 (\pm 1)$  nm; (G) HRTEM of dendrimer **5** + 7 equiv of HAuCl<sub>4</sub> per triazole.

was completed in 3.5 h, whereas that with the dendrimer 5 was
completed in one day in order to achieve complete reduction
(Figure 8).

**F8** 

F9

245 For all the experiments, the UV-vis spectrum shows a plasmon band between 530 and 570 nm depending on the cases 246 (see the examples with the dendrimers 9, 10 and 11 in Figure 247 9). This means that all the observed AuNPs have a diameter 248 larger than 3 nm. The transmission electron microscopy (TEM) 249 250 data confirm this trend (see ESI), showing that the dendrimer 9-stabilized AuNPs have a diameter of 23  $\pm$  0.5 nm, the 251 dendrimer 10-stabilized AuNPs have a diameter of  $34 \pm 1$  nm 252 and the dendrimer 11-stabilized AuNPs have a diameter of 36 253  $\pm$  0.5 nm. 254

The various generations of "click" dendrimers 4, 5 and 6 give 255 the same AuNP diameters as dendrimers 9, 10 and 11. Indeed, 256 the dendrimer 4-stabilized AuNPs have a diameter of  $22 \pm 0.5$ 257 nm, the dendrimer 5-stabilized AuNPs have a diameter of 33 258  $\pm$  0.5 nm, and the dendrimer 3-stabilized AuNPs have a 259 diameter of  $36 \pm 1$  nm (see ESI). This leads to a characteristic 260 size for each generation of dendrimers containing or not triazole 261 262 groups, and in these syntheses only the reaction time changes.

The sizes of these AuNPs are much larger than those observed with the first protocol with NaBH<sub>4</sub> in methanol. The presence of Percec-type PEG tethers in these dendrimers is required for the reduction and the stabilization of AuNPs in water. 266

Several tests were performed with the two PEGylated Percec-267 type dendrons 3 and 8 (used to synthesize the PEGylated 268 dendrimers), and the PEG polymer 15 (mass molar: 5000 g 269  $mol^{-1}$ ) (Chart 2). Mixing **3**, **8** or **15** with HAuCl<sub>4</sub> in water did not result in any color change of the yellow aqueous solution 271 after several days nor any change in the UV-vis spectrum. 272 Thus, reduction of AuNPs did not proceed in these cases, in 273 sharp contrast to what was observed with the PEGylated 274 dendrimers under the same conditions. This shows that a 275 PEGylated dendron or PEG polymer is not sufficient to reduce 276 HAuCl<sub>4</sub> in water, but that the PEGylated dendron must be 277 embedded within the dendritic structure to become efficient for 278 this process. 279

To further examine the frontier of the feasibility of HAuCl<sub>4</sub> 280 reduction and AuNP stabilization in water in the absence of 281 external reductant, we synthesized the dendrimer **16** by "click" 282 reaction between the 27-azido dendrimer precursor of **5** and 283

270 C2

	ohio2/yja-yja/yja-yja/yja00810/yja2951d07z	xppws 2	23:ver.3 2/1	12/10 6:42	Msc: ja-2009-09133f	TEID: ram00	BATID: 00000
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*Chart 1.* Structure of the Known Dendrimers **12–14** that Do Not Contain PEG Termini and Stabilize PdNPs<sup>12a</sup> but Not AuNPs.



*Chart 2.* PEG Dendrons and Polymer that Do Not Undergo AuNP Formation from HAuCl<sub>4</sub> in Water in the Absence of External Reductant, Unlike the PEGylated Dendrimers **4**–**6** and **9**–**11** 



**S**3

C3

propargyltetraethylene glycol (instead of the dendron 3 used 284 285 for the synthesis of 6; compare Chart 3 with Scheme 2). The structure of 16 is thus intermediate between those of the 286 PEGylated dendrimers 4 and 5, but with 27 linear (nonden-287 dronic) tetraethyleneglycol tethers instead of PEGylated Percec-288 type dendrons (Chart 3). In 16, the branching point of the  $1 \rightarrow$ 289 3 connectivity is remote from the tetraethyleneglycol groups, 290 unlike in 4 and 5. 291

Mixing this white crystalline dendrimer 16 with the yellow 292 aqueous solution of HAuCl<sub>4</sub> resulted in a clear yellow solution 293 from which a pale yellow precipitate formed after 15 min, 294 whereas the solution became colorless. Addition of dichlo-295 romethane and shaking the two phases recovered the clear 296 yellow aqueous solution of Au<sup>III</sup> and the colorless organic phase 297 from which the dendrimer 16 was recovered quantitatively and 298 identified by <sup>1</sup>H NMR. Extraction with dichloromethane ap-299 parently breaks the weak triazole-Au<sup>III</sup> bond, but no AuNPs 300 were formed in this process. This experiment is in sharp contrast 301

with the similar experiments carried with all the dendrimers 302 terminated by Percec-type PEG dendrons that produced red 303 AuNPs (Scheme 3). 304

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Many transition-metal-1,2,3-triazole complexes are known 305 with a variety of coordination modes.<sup>11d,21</sup> With ferrocenyl 306 "click" dendrons and ferrocenyl-terminated "click" dendrimers 307 related to 12–14, such intradendritic triazole complexation by 308 late transition-metal cations has also been shown by 309 electrochemistry<sup>11a</sup> and X-ray crystal structures.<sup>11d</sup> In the present 310 case, complexation of the triazole group of the dendrimer 4 has 311 been monitored by UV-vis spectroscopy before NaBH<sub>4</sub> reduc-312 tion to AuNPs (ESI), although the complexation mode is still 313 unknown, the possibilities being multifold in the literature.<sup>11d,21</sup> 314

It can be concluded that the driving force provided by the linear TEG termini in **16** or by the open PEG structures **3**, **8** and **15** of Chart 1 is insufficient to reduce Au<sup>III</sup>. This shows that it is the semicavitand-shaped Percec-type PEG, when it is sterically constrained within the dendrimer frame, that is



*Figure 7.* Size variation of AuNPs stabilized by the "click" dendrimer 6 G1-81-PEG in methanol upon reduction using NaBH<sub>4</sub> in methanol.



Figure 8. UV-Vis spectra of AuNPs formed and stabilized by the dendrimers 5 and 9 at different times.

responsible for reduction of HAuCl<sub>4</sub> and stabilization of AuNPs. 320 The experiment with the nonclick PEGylated dendrimers 9-11 321 322 that also reduce HAuCl<sub>4</sub> shows that the triazole ring is not involved in the reduction, as also confirmed by the negative 323 result obtained with the dendrimer 16. When both the triazole 324 and dendronic Percec-type PEG are present in the dendrimer 325 structure, reduction of HAuCl<sub>4</sub> still occurs, but more slowly than 326 in the absence of triazole, because of triazole complexation. 327 Some PEGs are known to complex and reduce Au<sup>III</sup> to AuNPs,<sup>23</sup> 328 the mechanism involving oxidative cleavage of ether C-O 329 bonds by the strong oxidant Au<sup>III.<sup>23f</sup></sup> It appears here that the 330 dendronic nature of the Percec-type TEGs strongly favors this 331 process compared to linear tetraethylene glycol termini. Chelat-332 ing or encapsulating oxygen atoms of crown ethers are much 333 more easily protonated than linear PEG,<sup>23c</sup> and ion pair 334 formation den-PEGH<sup>+</sup>, AuCl<sub>4</sub><sup>-</sup> or den-PEGH<sup>+</sup>, [AuCl<sub>3</sub>(OH]<sup>-</sup> 335 becomes possible,<sup>23c</sup> which accounts for intradendritic extraction 336 of HAuCl<sub>4</sub> by the dendritic Percec-type PEG from the peripheral 337 aqueous medium. Finally, coordination/chelation of Au<sup>III</sup> by 338 PEG oxygen atoms of the Percec-type dendron constrained in 339

the dendrimers presumably occurs, before oxidative C-O 340 cleavage concomitant with Au<sup>III</sup> reduction. 341

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7. AuNPs Size Variation in Water upon Change of the 342 AuCl<sub>4</sub> Stoichiometry. The AuNP size variation when HAuCl<sub>4</sub> 343 is reduced and the AuNPs stabilized by dendrimers 9, 10 and 344 11 in water is observed when the number of equivalents of added 345 HAuCl<sub>4</sub> is multiplied by two and by five (i.e., 18 and 45 equiv 346 of Au for 9, 54 and 135 equiv of Au for 10, and 162 and 405 347 equiv of Au for 11). The TEM images were recorded (see ESI), 348 and the diameters of the AuNPs obtained were estimated (Table 349 1). The diameter of dendrimer 9-stabilized AuNPs varies from 350  $23 \pm 0.5$  nm to  $32 \pm 1$  nm, from  $34 \pm 1$  nm to  $38 \pm 1$  nm for 351 dendrimer 10-stabilized AuNPs and from  $36 \pm 0.5$  nm to  $42 \pm$ 352 1 nm for dendrimer 11-stabilized AuNPs. 353

8. AuNP Formation in Methanol upon HAuCl<sub>4</sub> Reduction 354 by Organometallic "Electron-Reservoir" Complexes. The AuNP 355 precursor HAuCl<sub>4</sub> was also reduced in methanol to AuNPs by 356 the organometallic electron-reservoir sandwich complexes, 357  $[Fe^{I}Cp(\eta^{6}-C_{6}Me_{6})]$ ,<sup>24</sup> ferrocene, ethynylferrocene and decam-358 ethylferrocene that are single-electron reductants with various 359 redox potentials. In these cases, the AuNPs were synthesized 360 by reaction between the "click" dendrimer 5 and a stoichiometric 361 amount of HAuCl<sub>4</sub> per dendrimer triazole group, followed by 362 reduction in methanol using the organometallic compound. The 363 TEM data (see ESI) show that the dendrimer 5-stabilized AuNPs 364 obtained using the organometallic compounds are much larger 365 than the AuNPs obtained by reduction using NaBH<sub>4</sub> (1.9  $\pm$  0.4 366 nm). Indeed, the AuNP diameter synthesized using ferrocene 367 is  $38 \pm 3$  nm, with ethynylferrocene it is  $30 \pm 3$  nm, with 368 decamethylferrocene it is 23  $\pm$  2 nm, and with [Fe<sup>I</sup>Cp( $\eta^{6}$ -369  $C_6Me_6$ ] it is 7 ± 1 nm. The high resolution TEM (Figure 10B) 370 F10 shows the cubic crystalline structure of the AuNPs obtained 371 AuNP under these conditions using [Fe<sup>I</sup>Cp( $\eta^6$ -C<sub>6</sub>Me<sub>6</sub>)] as the 372 reductant. 373

## Discussion

Dendrimers that contain both triazole rings and TEGylated 375 Percec-type dendrons are able to stabilize AuNPs in methanol, 376 but related dendrimers lacking one of these structural features 377 cannot stabilize AuNPs upon NaBH<sub>4</sub> reduction of HAuCl<sub>4</sub> in 378 this solvent. We already know from previous electrochemical<sup>11a</sup> 379 and X-ray structural studies<sup>11d</sup> that various late transition-metal 380 ions are coordinated by the intradendritic triazole ligands of 381 "click" dendrimers. Thus, in the present case, the triazole ligands 382 analogously serve to trap the Au<sup>III</sup> ions inside the "click" 383 dendrimers, then stabilization of the reduced Au atoms in AuNPs 384 is provided by the nearby semicavitand formed by the TEGy-385

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Figure 9. UV-Vis spectra of AuNPs formed and stabilized by the dendrimers 9, 10 and 11.

Scheme 3. Dramatic Influence of the Dendronic Percec-type PEG in 4–6 on Au<sup>III</sup> Reduction and AuNP Formation in Water without Additional Reductant, Compared to a Linear TEG in 16





lated Percec-type dendrons. "Click" TEG-terminated dendrimer 386 stabilized AuNPs formed upon NaBH<sub>4</sub> reduction in methanol, 387 but the generation dependence influenced the AuNP size and 388 mode of stabilization. DSAuNPs are formed with G<sub>0</sub>-27TEG, 389 and they are larger (4.1 nm) than the dendrimer and thus 390 391 surrounded by several dendrimers (Figure 11). On the other 392 hand, DEAuNPs are formed from the large G<sub>1</sub>-81TEG and G<sub>2</sub>-243 TEG dendrimers. These findings were obtained using a 1:1 393 HAuCl<sub>4</sub>/triazole stoichiometry, but the AuNP size was steadily 394 increased when this stoichiometry was increased until a plateau 395 was reached at a 10:1 stoichiometry with G<sub>1</sub>-81TEG, indicating 396 that the AuNPs have become so large that they could no longer 397 be encapsulated. This shows the progressive transition between 398 DEAuNPs and DSAuNPs as well as the maximum size of 399 AuNPs that can be reached by this method. 400

F11

Whereas AuNPs were easily formed from PAMAM and PIP 401 dendrimers by the pioneers in the area,<sup>6</sup> it is surprising that 402 AuNPs could not be formed here by NaBH4 reduction in 403 methanol of Au<sup>III</sup> complexes of arene-cored "clicked" dendrim-404 405 ers in the absence of TEG termini. This is all the more striking 406 as our previous studies had shown that, using these same dendrimers, PdNPs were formed and were shown to be very 407 408 active in the catalysis of alkene hydrogenation and C-C forming reactions. This contrast might be due to the difference of 409 aggregation mechanism and rate between these two metals. 410

Also remarkable is the success to form these AuNPs when 411 the TEG termini were introduced as structural complement for 412 413 all the dendrimers studied here. It is thus likely that this outstanding property of Percec-type TEG termini could be 414 extended to many other dendrimers. Another interesting distinc-415 tion is the impossibility to form the dendrimer-stabilized AuNPs 416 by NaBH<sub>4</sub> reduction of HAuCl<sub>4</sub> in methanol when the arene-417 418 cored TEG-terminated dendrimers do not contain the 1,2,3triazole ring whatever the generation (nonclick dendrimer series 419 **9**, **10** and **11**). NaBH<sub>4</sub> is currently believed to be a convenient 420 reductant of Au<sup>III</sup> to form AuNPs (despite the undesired 421 formation of borides at the AuNP surface),<sup>24b</sup> but this study 422 demonstrates that it does not always work, probably because 423 in this precise case the reduction is too fast to be compatible 424 with stabilization of the AuNPs. 425

Even if NaBH<sub>4</sub> fails to lead to the formation of AuNPs with 426 TEG-terminated dendrimers that do not contain a triazole ring, 427 however, the presence of Percec-type TEG termini is sufficient 428 to allow the formation of the AuNPs in water in this case in 429 the absence of reductant. The dendritic effect is required for 430 this property, as it is shown that nondendritic linear PEGs, 431 tripodal dendronic PEGs that are not incorporated in dendrimers, 432 and even a "click" dendrimer terminated by 27 linear (non 433 dendronic) TEG tethers does not have this property. Au<sup>III</sup> is a 434 rather strong oxidant,<sup>25</sup> but oxidation of nondendritic PEG is 435 endergonic and not sufficiently entropy-driven. On the other 436 hand, Au<sup>III</sup> encapsulation achieved upon multiple proton coor-437 dination by the oxygen atoms of the TEG semicavitands is 438 facilitated by the dendritic constraint, which brings about ion 439 pairing to trap Au<sup>III</sup> with favorable entropy conditions for Au<sup>III</sup> 440 reduction. Yet, the AuNP formation is slow in "click" den-441 drimers terminated by Percec-type PEG dendrons, due to the 442 Au<sup>III</sup>-triazole coordination that is distal from the dendronic PEG 443 reduction site. Either the requirement of a distal electron transfer 444 slows it down or the reversible Au<sup>III</sup>-triazole coordination 445 renders Au<sup>III</sup> less available at the dendronic PEG reduction site. 446

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*Chart 3.* Structure of the Dendrimer **16** that Contain Linear (non-Percec-type) TEG Termini and Does Not Undergo AuNP Formation from HAuCl<sub>4</sub> in Water in the Absence of External Reductant



Table 1. Size Evolution of AuNPs Stabilized by Dendrimers 9, 10 or 11 in Water

dendrimer $+ \ \text{number}$ of equiv of Au per dendron	AuNP diameter (nm)
Dendrimer $9 + 1$ equiv	$23 \pm 0.5$
Dendrimer $9 + 2$ equiv	$26 \pm 1.0$
Dendrimer $9 + 5$ equiv	$32 \pm 1.0$
Dendrimer $10 + 1$ equiv	$34 \pm 1.0$
Dendrimer $10 + 2$ equiv	$36 \pm 0.5$
Dendrimer $10 + 5$ equiv	$38 \pm 1.0$
Dendrimer $11 + 1$ equiv	$36 \pm 0.5$
Dendrimer $11 + 2$ equiv	$38 \pm 1.0$
Dendrimer $11 + 5$ equiv	$42 \pm 1.0$

447 The large size of the AuNPs formed by this process is due to this very slow kinetics. This means that the AuNPs start growing 448 449 inside the dendrimers, and that these germs continue growing 450 outside the dendrimers owing to their large size by trapping the Au atoms formed in the TEG semicavitands near the 451 dendrimer periphery. It is likely that, once the large AuNPs 452 grow, the native Au atoms do not form small stable DEAuNPs 453 inside the dendrimer, because the latter are not observed by 454 455 TEM.

456 Note that the AuNPs obtained by this method have the same 457 size whether or not they contain triazole ligands, confirming 458 that it is the TEG oxygen pseudocavities that play the main 459 role in the AuNP formation in this case rather that the triazole 460 ring. The DSAuNPs formed in this way are much larger than



*Figure 10.* (A) TEM of the dendrimer  $\mathbf{5} + 1$  equiv of HAuCl<sub>4</sub> reduced by [Fe<sup>1</sup>Cp( $\eta^{6}$ -C<sub>6</sub>Me<sub>6</sub>)]. (B) HRTEM of the dendrimer  $\mathbf{5} + 1$  equiv of HAuCl<sub>4</sub> reduced by [Fe<sup>1</sup>Cp( $\eta^{6}$ -C<sub>6</sub>Me<sub>6</sub>)].

those formed by NaBH4 reduction, because the driving force is461considerably larger with NaBH4 than with the TEG semicavitand462dendrimer termini.463

It is surprising that, owing to the undesired boride impurities 464 formed at the AuNP surface of AuNPs synthesized using 465 NaBH4,18d no monoelectronic reductant has been probed to form 466 clean AuNPs. For biomedical applications, the AuNPs are 467 usually synthesized with the Turkevich method,<sup>26</sup> because most 468 (although not all) of the temporary citrate stabilizers can be 469 removed to introduce the biomedical probe or target, and the 470 large AuNPs formed by this method allow monitoring an intense 471



*Figure 11.* (a) AuNPs stabilized by several dendrimers **4**; (b) dendrimer **5**-encapsulated AuNPs.

472 plasmon absorption. Also, whereas small AuNPs (< 5 nm) are 473 of great interest for efficient catalysis,<sup>27</sup> large AuNPs are 474 important in nanomedicine, because the plasmon band (AuNP 475 > 3 nm) is an indispensable tool in this later area.<sup>18</sup>

In the present study, the use of single-electron reductants 476 successfully leads to the formation of AuNPs that are much 477 478 larger than those formed using NaBH<sub>4</sub> reduction. This is due to the fact that the mechanism of NaBH4 reduction follows inner-479 sphere electron transfer involving chloride elimination from 480 AuCl<sub>4</sub><sup>-</sup>, whereas the mechanism of the single-electron reductants 481 involves outer-sphere electron transfer. As shown by the seminal 482 work of Henry Taube, inner-sphere mechanisms are consider-483 ably superior to outer-sphere electron-transfer mechanisms in 484 terms of reaction kinetics.<sup>28</sup> Again, slow reduction is well-known 485 to result in a prolonged aggregation process leading to large 486 NPs.<sup>26</sup> Reetz has shown a linear correlation between the driving 487 force of carboxylate reductants and the PdNP size, that is, the 488

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PdNPs are all the larger as the driving force is weaker.<sup>29</sup> This 489 is also what is found here with the series of monoelectronic 490 reductants, as the DSAuNPs formed are all the larger as the 491 standard oxidation potential of the reductant is more positive 492 (or less negative). Since the reactions of electron-reservoir 493 complexes are clean because, by definition, both the oxidized 494 and reduced forms are stable,<sup>24</sup> it is possible to define the size 495 of the DSAuNPs between 7 nm (strong Fe<sup>I</sup> monoelectronic 496 reductant) and 38 nm (weakest Fe<sup>II</sup> monoelectronic reductant). 497 The standard potentials of these electron-reservoir complexes 498 are perfectly defined and can be easily tuned even with small 499 variations by change of the nature and number of ring substit-500 uents of the transition-metal sandwich complex.<sup>30</sup> This flexibility 501 allows to also finely tune the AuNPs size without inhibiting 502 the AuNP surface upon ligand coordination. 503

## **Concluding Remarks**

This study shows the critical structural conditions for the 505 dendrimer-induced formation of DEAuNPs and DSAuNPs of 506 various sizes ranging from 1.8 to 42 nm. A variety of new 507 dendrimers synthesized using  $1 \rightarrow 3$  connectivity with Percec-508 type TEG termini provide ideal conditions for AuNP formations 509 from HAuCl<sub>4</sub> either using NaBH<sub>4</sub> as the reductant or without 510 external reductant. With NaBH<sub>4</sub>, it is also indispensable to use 511 "click" dendrimers terminated by Percec-type TEG dendrons 512 in order to introduce Au<sup>III</sup> inside the dendrimer by coordination 513 to the triazole rings, which adequately modulates the nucleation. 514 On the other hand, in the absence of external reductant, Au<sup>III</sup>-515 triazole coordination slows down Au<sup>III</sup> reduction, because the 516 distal Percec-type dendron itself is the reductant. The semicav-517 itand effect is then crucial, as shown by the failure of Au<sup>III</sup> 518 reduction using a "click" dendrimer terminated by a linear 519 tertraethylene glycol instead of a Percec-type TEG dendron. 520 DSAuNPs are formed with all the dendrimers from HAuCl<sub>4</sub> in 521 this way in the absence of additional reductant (23 to 42 nm-522 size depending on the generation but not on presence of triazole 523 in the dendrimer structure). Finally, choosing the AuCl<sub>4</sub>/ 524 dendrimer stoichiometry can orient the size of the AuNPs 525 formed in these processes. Monoelectronic reductants have also 526 been used for the first time for the reduction of HAuCl<sub>4</sub> to 527 AuNPs. Their outer-sphere reduction mechanism implies a much 528 slower reduction than with the inner-sphere reductant NaBH<sub>4</sub>, 529 and the size of the DSAuNP formed (between 7 and 38 nm) is 530 directly related to the standard oxidation potentials of the Fe<sup>I</sup> 531 and Fe<sup>II</sup> reductants. This provides the possibility to finely tune 532 the DSAuNP size. 533

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## All these water-soluble dendrimer-stabilized PEGylated AuNPs are only weakly stabilized and thus should be very useful for catalytic (small size) and biomedical applications (large size).

### 537 Experimental Section

#### 1. Synthesis and Characterization of the Alkyne Dendron 538 3 (see ESI). A Percec-type dendron<sup>19</sup> containing a tris-(triethylene 539 glycol) group (1 g, 1.57 mmol) and tetraethylene glycol (2.95 g, 540 15.7 mmol) were introduced into a Schlenk flask, and dry THF 541 542 (50 mL) was added. NaH (108 mg, 2.7 mmol) was added to the solution. The mixture was stirred for 12 h at 50 °C. At the end of 543 544 the reaction, water was added, and then THF was removed under 545 vacuum. The product was extracted with $CH_2Cl_2$ and purified by chromatography (MeOH) giving 1 g of yellow oil (83% yield). 546

The tris-(triethylene glycol) tetraethylene glycol dendron (600 547 mg, 0.65 mmol) and dry THF (50 mL) were introduced into a 548 Schlenk flask, and NaH (47 mg, 1.95 mmol) was added at 0 °C. 549 Propargyl bromide (155 mg, 1.3 mmol) was added to the solution, 550 and the mixture was stirred for 2 h at 0 °C, then 2 h at 25 °C. At 551 the end of the reaction, water was added, then THF and excess 552 propargyl bromide were removed under vacuum. The product was 553 extracted with CH2Cl2, yielding 600 mg of yellow oil (95% yield). 554 <sup>1</sup>H NMR (CDCl<sub>3</sub>, 250 MHz): 6.39 (2H, CH-arom. extern), 4.26 555 (2H, O-CH<sub>2</sub>-arom. extern), 3.98 (4H, CH<sub>2</sub>O-arom. extern and CH<sub>2</sub>-556 alkyne), 3.46 (30H, OCH2CH2O), 3.17 (9H, CH3O), 2.36 (1H, 557 C-CH alkyne); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 62 MHz): 152.41 (Cq-O arom.), 558 137.50 (Cq-CH<sub>2</sub> arom.), 133.63 (Cq-CH2-O), 106.87 (CH arom.), 559 79.54 (Cq alkyne), 74.75 (CH alkyne), 70.46 (O-CH<sub>2</sub>), 58.74(O-560 CH<sub>3</sub>), 58.13 (CH<sub>2</sub>-alkyne). Infrared  $v_{alkyne}$ : 2100 cm<sup>-1</sup>; MALDI 561 TOF: Calcd for  $C_{39}H_{68}O_{17}$ : 808; found: 831 (MNa<sup>+</sup>) 562

2. General Procedure for the Synthesis of the "Clicked" 563 PEG Dendrimers 4, 5 and 6. The azido-terminated dendrimer (2 564 for the synthesis of the dendrimer 5, 1 equiv.) and the alkyne 565 dendron 3 (1.5 equiv. per branch) were dissolved in THF. At 0 °C, 566 567 CuSO<sub>4</sub> was added (2 equiv per branch, 1 M water solution), followed by the dropwise addition of a freshly prepared solution 568 of sodium ascorbate (4 equiv per branch, 1 M water solution) in 569 order to set a 1:1 (THF/water) ratio. The solution was allowed to 570 stir for 12 h at 25 °C under N<sub>2</sub>. After removing THF under vacuum, 571 CH<sub>2</sub>Cl<sub>2</sub> and an aqueous ammonia solution were added. The mixture 572 was allowed to stir for 10 min to remove all the Cu<sup>II</sup> trapped inside 573 the dendrimer as  $[Cu(NH_3)_6]^{2+}$ . The organic phase was washed 574 twice with water, dried with sodium sulfate, and the solvent was 575 removed under vacuum. The product was precipitated with MeOH/ 576 ether in order to remove the excess dendron.<sup>19</sup> Yields: 96% (4); 577 95% (5) and 92% (6). (See details in the ESI.) 578

579 Characterization of 4: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 250 MHz): 7.45 (9H, 580 CH-triazole), 6.93 (36H, CH-arom. intern), 6.56 (18H, CH-arom. 581 extern), 4.62 (18H, triazole-CH<sub>2</sub>-0), 4.43 (18H, O-CH<sub>2</sub>-arom. 582 extern), 4.11 (72H, CH<sub>2</sub>O-arom. extern and Si-CH<sub>2</sub>-triazole), 3.64 (414H, OCH<sub>2</sub>CH<sub>2</sub>O), 3.37 (27H, CH<sub>3</sub>O), 1.59 (18H, CH<sub>2</sub>-583 CH<sub>2</sub>CH<sub>2</sub>Si), 1.07 (18H, CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 0.60 (18H, CH<sub>2</sub>CH<sub>2</sub>-584 585 CH<sub>2</sub>Si), 0.006 (54H, Si(CH<sub>3</sub>)<sub>2</sub>); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 62 MHz): 151.62 (CH, extern arom.), 144.48 ( $C_q$  of triazole), 136.83 (Cq, arom. core), 586 587 132.75 (Cq, arom. extern), 123.52 (CH of triazole and arom. core), 588 106.23 (C<sub>q</sub>CH<sub>2</sub>O), 69.54 (OCH<sub>2</sub>CH<sub>2</sub>O), 63.53 (triazole-CH<sub>2</sub>-O), 58.00 (CH<sub>3</sub>O), 53.38 (OCH2-arom. extern), 43.77 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 589 (SiCH<sub>2</sub>-triazole), 40.93 (Cq-arom. intern), 590 42.717.82 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 14.90 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), -4.84 (Si(CH<sub>3</sub>)<sub>2</sub>); DOSY: 591  $D = 1.16 (\pm 0.1) \times 10^{-10} \text{ m}^2\text{/s}$ , Rh = 4.9 (±0.1) nm (D: diffusion 592 593 coefficient; Rh: hydrodynamic radius); IR: no alkyne and azide bands; MALDI-TOF mass spectrum: Calcd for C<sub>414</sub>H<sub>741</sub>O<sub>153</sub>N<sub>27</sub>Si<sub>9</sub>: 594 8798; found: 8824 (MNa<sup>+</sup>). Anal. Calcd for  $C_{414}H_{741}O_{153}N_{27}Si_9$ : C 595 56.52, H 8.49; found: C 56.31, H 8.49; light scattering: diameter 596 597  $= 9 \pm 0.8$  nm.

598 3. Synthesis and Characterization of the Phenol Dendron
 599 8 (see ESI). The tris-(triethylene glycol) dendron (300 mg, 0.46
 600 mmol) and hydroquinone (251 mg, 2.28 mmol) were introduced

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into a Schlenk flask, and dry DMF (30 mL) was added. K<sub>2</sub>CO<sub>3</sub> 601 (315 mg, 2.28 mmol) was added to the solution. The mixture was 602 stirred for 18 h at 80 °C under reflux. At the end of the reaction, 603 DMF was removed. The product was extracted with CH<sub>2</sub>Cl<sub>2</sub>, 604 washed with water, and purified by chromatography (CH2Cl2/ 605 MeOH, (97:3). 205 mg of a yellow oil was obtained (65% yield). 606 <sup>1</sup>H RMN (CDCl<sub>3</sub>, 250 MHz): 3.35 (CH<sub>3</sub>O); 3.63 (CH<sub>2</sub>O); 4.11 607 (CH<sub>2</sub>O arom.); 4.83 (OCH<sub>2</sub>arom.); 6.59 (CH arom.); 6.87 (CH 608 arom.-OH); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 62 MHz): 152.82 (Cq-O-CH<sub>2</sub>), 609 152.19 ((Cq-OH),150.56 (Cq extern.-CH2-O), 137.87 (Cq middle-610 CH<sub>2</sub>-O), 132.83 (CH<sub>2</sub>-Cq), 116.17 (CH arom.-Cq-O), 107.19 (CH 611 arom.-Cq-CH<sub>2</sub>), 71.93 (O-CH<sub>2</sub>-arom), 70.71 (O-CH<sub>2</sub>), 59.06 (O-612 CH<sub>3</sub>). MALDI TOF: Calcd for C<sub>34</sub>H<sub>54</sub>O<sub>14</sub>: 686.35; found: 709.34 613 (MNa<sup>+</sup>). Anal. Calcd for C<sub>54</sub>H<sub>54</sub>O<sub>14</sub>: C 59.46, H 7.92; found: C 614 59.21. H 8.18. 615

4. General Procedure for the Synthesis of the Dendrimers 616 9, 10, and 11 by the Williamson Reaction. The iodo-terminated 617 dendrimer<sup>20</sup>d 7 (5.46  $\times$  10<sup>-6</sup> mol) and the phenol dendron 8 (10 618 equiv for G<sub>0</sub>, 30 equiv for G<sub>1</sub> and 90 equiv for G<sub>2</sub>) were introduced 619 into a Schlenk flask, and dry DMF (30 mL) was added, then K2CO3 620 (2 equiv per dendron) was added to the solution. The mixture was 621 stirred for 2 days at 80 °C under reflux. At the end of the reaction, 622 DMF was removed. The product was extracted with CH<sub>2</sub>Cl<sub>2</sub> and 623 washed with water.  $G_0$  and  $G_1$  were purified by chromatography 624 (CH<sub>2</sub>Cl<sub>2</sub>:MeOH, (97:3) to remove the excess dendron and then (90: 625 10) to extract the dendrimer). G<sub>2</sub>dHQ was precipitated with MeOH/ 626 ether in order to remove the excess dendron. The yields were 80% 627 for 9 (G<sub>0</sub>), 78% for 10 (G<sub>1</sub>) and 85% for 11 (G<sub>2</sub>). 628

Characterization of the dendrimer 9: <sup>1</sup>H NMR (CDCl<sub>3</sub>, 250 629 MHz): 6.92 (CH-arom. core), 6.87 (CH-arom. intern), 6.65 (CH-630 arom. extern), 4.85 (0- CH2-arom. extern), 4.16 (CH2O-arom. 631 extern), 3.65 (OCH2CH2O and Si-CH2O), 3.38 (CH3O), 1.69 632 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 1.12 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 0.62 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 0.06 633 (Si(CH<sub>3</sub>)<sub>2</sub>); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 62 MHz): 156.09 (O-Cq intern), 634 152.61 (Cq intern), 144.71 (Cq arom. Core), 137.97 (Cq-Cq intern), 635 130.95 (CH arom. extern), 118.55 (CH arom. core), 115.61 (CH-636 arom intern), 114.79 (CH arom extern), 107.07 (CH arom intern), 637 72.3 (OCH<sub>2</sub>CH<sub>2</sub>), 70.80 (OCH<sub>2</sub> arom extern), 68.85 (SiCH<sub>2</sub>O), 638 59.05 (CH<sub>3</sub>O), 43.77 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 17.82 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 639 14.49 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), -4.58 (Si(CH<sub>3</sub>)<sub>2</sub>). MALDI TOF: Calc. for 640  $C_{369}H_{606}O_{126}Si_9$ : 7311.54; found: 7334.47 (MNa<sup>+</sup>); DOSY: D = 641  $1.47 \ (\pm 0.1) \ \times \ 10^{-10} \ \text{m}^2/\text{s}$ , Rh = 3.9 (±0.3) nm (D: diffusion 642 coefficient; Rh: hydrodynamic radius); SEC: polydispersity = 1.05. 643 Anal. Calcd for C<sub>369</sub>H<sub>606</sub>O<sub>126</sub>Si<sub>9</sub>: C 60.62, H 8.35; found: C 59.64, 644 H 8.57. 645

5. Synthesis and Characterization of the Dendrimer 16. The 646 27 azido-terminated dendrimer precursor of 5 and 16 (see structure 647 in ESI)^{11a,12a} (0.2 g, 0.032 mmol) and tetraethylene glycol methyl 648 propargyl ether (0.319 g, 1.296 mmol, 1.5 equiv per branch) were 649 dissolved in 50 mL of THF. At 0 °C, CuSO<sub>4</sub> was added (0.431 g, 650 1.728 mmol, 2 equiv per branch, 1 M water solution), followed by 651 dropwise addition of a freshly prepared solution of sodium ascorbate 652 (0.685 g, 3.456 mmol, 4 equiv. per branch, 1 M water solution) in 653 order to set a 1:1 (THF/water) ratio. The solution was allowed to 654 stir for 12 h at 25 °C under N<sub>2</sub>. After removing THF under vacuum, 655 CH<sub>2</sub>Cl<sub>2</sub> and an aqueous ammonia solution (28% w/w) were added. 656 The mixture was allowed to stir for 10 min in order to remove all 657 the  $Cu^{II}$  trapped inside the dendrimer as  $[Cu(NH_3)_6]^{2+}$ . The organic 658 phase was washed twice with water, dried with sodium sulfate, 659 and the solvent was removed under vacuum. The product was 660 precipitated with MeOH/ether to remove the excess of tetraethylene 661 glycol methyl propargyl ether; 0.336 g of 16 was obtained (81% 662 yield). <sup>1</sup>H NMR (CDCl<sub>3</sub>, 250 MHz): 7.40 (27H, CH-tiazole), 7.08 663 (18H, CH-arom. extern.), 7.02 (3H, CH-arom. intern.), 4.61 (54H, 664 triazole-CH2-O), 3.81 (18H, CH2O-arom.), 3.49-3.62 (432H, 665 OCH<sub>2</sub>CH<sub>2</sub>O), 3.33 (81H, CH<sub>3</sub>O), 1.55 (72H, CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 1.04 666 (72H, CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 0.55 (72H, CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 0.06 (54H, 667  $O-CH_2Si(CH_3)_2$ , 0.007 (162H, trazole-CH<sub>2</sub>Si(CH<sub>3</sub>)<sub>2</sub>). <sup>13</sup>C NMR 668 (CDCl<sub>3</sub>, 62 MHz): 158.96 (Cq of O-arom.), 144.53 (Cq of triazole), 669 1110L LD1. 15

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670 138.61 (Cq, arom. core) 126.94 (CH-arom.) 123.26 (CH of triazole and arom. core), 113.36 (CH-arom.), 70.33 (OCH<sub>2</sub>CH<sub>2</sub>O), 69.39 671 (Si-CH<sub>2</sub>-O), 64.51 (triazole-CH<sub>2</sub>-O), 58.87 (CH<sub>3</sub>O), 42.28 672 673 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 41.7 (SiCH<sub>2</sub>-triazole), 40.7 (Cq-arom. inter.), 674 17.26 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), 14.64 (CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si), -4.09 (Si(CH<sub>3</sub>)<sub>2</sub>). IR: no alkyne and azide bands. MALDI TOF: Calcd for C<sub>612</sub>H<sub>1187</sub> 675 O<sub>144</sub>N<sub>81</sub>Si<sub>36</sub>: 12946.297; found: 12971, major peak (calcd: 12969: 676 MNa<sup>+</sup>). Anal. Calcd for  $C_{612}H_{1187}$   $O_{144}N_{81}Si_{36}$ : C 56.78, H 8.85; 677 found: C 55.68, H 8.46. 678

679 6. General Procedure for AuNPs Reduction in Methanol with Dendrimers 4, 5 and 6. The following procedure is described 680 using the preparation of the dendrimer 4: 1 mL of a  $1.14 \times 10^{-4}$ 681 M solution of dendrimer (1 mg,  $1.14 \times 10^{-4}$  mmol) in MeOH was 682 683 introduced into a Schlenk flask under nitrogen, then 0.349 mL of a  $2.94 \times 10^{-3}$  M solution of HAuCl<sub>4</sub> (0.349 mg,  $1.03 \times 10^{-3}$  mmol, 684 1 equiv per triazole) and 4.65 mL of MeOH was added in order to 685 obtain a solution  $2.21 \times 10^{-4}$  M (in Au). The solution was stirred 686 for 1 h, the reductant NaBH<sub>4</sub> (0.39 mg), [Fe<sup>I</sup>Cp( $\eta^{6}$ -C<sub>6</sub>Me<sub>6</sub>)] (4.4 687 mg), ferrocene (1.92 mg), ethynylferrocene (2.16 mg) or decam-688 ethylferrocene (3.36 mg)] was added ( $1.03 \times 10^{-2}$  mmol, 10 equiv 689 per Au), and the yellow solution turned to golden brown indicating 690 the formation of the AuNPs. 691

7. General Procedure for the Preparation of AuNPs in 692 Water without Reductant. The following procedure is described 693 using the preparation of the dendrimer 9:1 mL of a  $1.37 \times 10^{-4}$  M 694 solution of dendrimer (1 mg,  $1.37 \times 10^{-4}$  mmol) in H<sub>2</sub>O was placed 695 696 into a Schlenk flask under ambient condition, then 0.416 mL of a  $1.23 \times 10^{-3}$  M solution of HAuCl<sub>4</sub> (0.416 mg,  $1.23 \times 10^{-3}$  mmol, 697 1 equiv per tether). 1.584 mL of H<sub>2</sub>O was added in order to obtain 698 699 a final volume of 3 mL. The solution was stirred, and the yellow solution turned to pink then red, indicating the formation of the 700 AuNPs. 701

8. Transmission Electron Microscopy (TEM and HRTEM). The samples were prepared by placing a drop of  $1.6 \times 10^{-4}$  M solution of AuNPs (concentration in mol Au) on a holey-carbon-coated Cu TEM grid and they were then analyzed with a JEOL JEM 1011 machine. 706

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Note Added after ASAP Publication. The version published715ASAP on February 4, 2010, had incorrect labeling of Figures 10716and 11. The corrected version will publish with the issue on March7173, 2010.718

Supporting Information Available: Details of the syntheses, 719 characterizations, <sup>1</sup>H and <sup>13</sup>C spectra for all the new dendrons 720 and dendrimers, size-exclusion chromatograms of the dendrimers 721 9-11, determination of the diffusion coefficient of the den-722 drimers by DOSY NMR, transmission electron microscopy of 723 the DEAuNPs and DSAuNPs, and UV-vis spectra of HAuCl<sub>4</sub>, 724 complexation by dendrimer 4, and reduction to AuNPs by 725 NaBH<sub>4</sub>. This material is available free of charge via the Internet 726 at http://pubs.acs.org. 727

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